

MAR 15 2005

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To:

NAME:	FACSIMILE:	TELEPHONE:
MS Amendment United States Patent and Trademark Office	(703) 872-9306	(571) 272-1779

FROM: Mike Garrabrants

DATE:

March 15, 2005

Number of pages with cover page:	14	
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Comments: RESPONSE TO NON-FINAL OFFICE ACTION

DOCKET NO.: 559502000700

GROUP ART UNIT: 2818

EXAMINER: H. Hoang

SERIAL NO.: 10/729,718

FILING DATE: December 5, 2003

INVENTOR(S): Yoshinao MORIKAWA

TITLE: NONVOLATILE MEMORY CELL AND NONVOLATILE SEMICONDUCTOR MEMORY
DEVICE

Papers attached:

1. Transmittal (1 page)
2. Fee Transmittal w/duplicate copy for fee processing (2 pages)
3. Petition for Extension of Time (1 page)
4. Amendment/Response (9 pages)

pa-964403

PTO/SK21 (09-04)

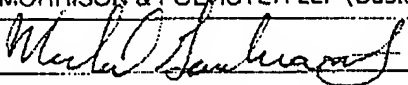
Approved for use through 07/31/2005 OMB 0651-0031

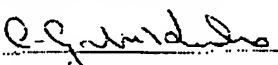
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/729,718	
	Filing Date	December 5, 2003	
	First Named Inventor	Yoshinao MORIKAWA	
	Art Unit	2818	
	Examiner Name	H. Hoang	
Total Number of Pages in This Submission	13	Attorney Docket Number	559502000700

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form + duplicate for fee processing (2 pages) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (3 pages) <input type="checkbox"/> Alter Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (1 page) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> Alter Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fax cover sheet
Remarks: _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature			
Printed name	Michael S. Garrabrants		
Date	March 15, 2005	Reg. No.	51,230

I hereby certify that the correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-5305, on the date shown below.	
Dated: March 15, 2005	Signature:  (Carolyn G. McKibben)

pa-964393

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006 OMB 051-0032
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Effective on 12/08/2003.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT		(\$)		450.00	
Application Number		10/729.718			
Filing Date		December 5, 2003			
First Named Inventor		Yoshinao MORIKAWA			
Examiner Name		H. Hoang			
Art Unit		2818			
Attorney Docket No.		559502000700			

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Note ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	300	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
13	-20 = 0	50.00	0.00

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	-3 = 0	200.00	0.00

Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
360.00	0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

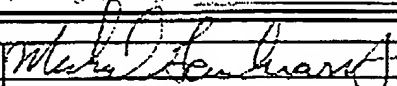
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	-100 =	50		

(round up to a whole number) x

4. OTHER FEE(S)

	Fees Paid (\$)
Non-English Specification, \$150 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1252 Extension for response within second month	450.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	51,230	Telephone	(650) 813-5856
Name (Print/Type)	Michael S. Garabrant	Date	March 15, 2005		

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